## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s): Harris, et al. Docket No.: RED-P002

Serial No.: Not yet assigned Group Art Unit: Not yet assigned

Filed: 03/26/2004 Examiner: Not yet assigned

Title: DUAL PEDESTAL SHUT-OFF VALVE

## **INFORMATION DISCLOSURE STATEMENT**

Commissioner of Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In keeping with the duty of candor and good faith owed to the Patent and Trademark Office, Applicants wish to bring the enclosed printed publications to the attention of the Examiner.

Applicant respectfully submits that Applicants' claimed invention is patentable over such references. Forms PTO/SB/08A and PTO/SB/08B listing these references are attached. It is understood the Office may find additionally relevant material in these or other references

Respectfully submitted,

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Approved for use through 10/31/2002. OMB 0651-0031 U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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|-----------------------------------|--------------------------|------------------|--|
| INFORMATION DISCLOSURE            | Application Number       | Not yet assigned |  |
| STATEMENT BY APPLICANT            | Filing Date              | 03/26/200HU      |  |
| STATEMENT DI ATT BICANT           | First Named Inventor     | Harris, James M. |  |
|                                   | Art Unit                 | NOT YET ASSIGNED |  |
| (use as many sheets as necessary) | Examiner Name            | 11 11 11 11      |  |
| Sheet 1 of                        | 1 Attorney Docket Number | RED P002         |  |

| U.S. PATENT DOCUMENTS |                          |   |                                |  |   |
|-----------------------|--------------------------|---|--------------------------------|--|---|
| Examiner<br>Initials* | Cite<br>No. <sup>1</sup> | Document Number  Number-Kind Code <sup>2</sup> (if known) | Publication Date<br>MM-DD-YYYY | Name of Patentee or Applicant of<br>Cited Document | Pages, Columns, Lines, Where<br>Relevant Passages or Relevant<br>Figures Appear |
|                       |                          | U.S4,821,997  | 04-18-1989                     | Zdeblick, Mark                                     |   |
|                       |                          | U.S4,824,073  | 04-25-1989                     | Zdeblick, Mark                                     |   |
|                       |                          | U.S4,943,032  | 07-24-1990                     | Zdeblick, Mark                                     |   |
|                       |                          | U.S4,966,646  | 10-30-1990                     | Zdeblick, Mark                                     |   |
|                       |                          | U.S5,865,417  | 12-12-2000                     | Harris, James, et al.                              |   |
|                       |                          | U.S6,149,123  | 11-21-2000                     | Harris, James, et al.                              |   |
|                       |                          | U.S6,160,243  | 12-12-2000                     | Cozad, Bradford A.                                 |   |
|                       |                          | U.S   |                                |  |   |

| FOREIGN PATENT DOCUMENTS |              |   |                                |  |   |                |
|--------------------------|--------------|---|--------------------------------|--|---|----------------|
|                          |              | Document Number   |                                |  |   |                |
| Examiner<br>Initials*    | Cite<br>No.1 | Country Code <sup>3</sup> Number <sup>4</sup> -Kind Code <sup>5</sup><br>(if known) | Publication Date<br>MM-DD-YYYY | Name of Patentee or Applicant of<br>Cited Document | Pages, Columns, Lines, Where<br>Relevant Passages or Relevant<br>Figures Appear | T <sup>4</sup> |
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| Examiner  | <br>Date Considered |  |
|-----------|---------------------|--|
| Signature | Date Considered     |  |

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant,

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<sup>1</sup> Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

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|------------------------------|---|-----------------------------------|----------------------|------------------------|------------------|
| INFORMATION DISCLOSURE       |   |                                   | SURE                 | Application Number     | Not yet assigned |
| STATEMENT BY APPLICANT       |   | TANT                              | Filing Date          | 13/26/04               |                  |
|                              |   | JAINI                             | First Named Inventor | Harris, James M.       |                  |
|                              |   |                                   |                      | Art Unit               | Not yet a slamed |
|                              |   | (use as many sheets as necessary) |                      | Examiner Name          | 11 11 11 0       |
| Sheet                        | 1 | of                                | 1                    | Attorney Docket Number | RED P002         |

| OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS |              |  |                |  |  |
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| Examiner<br>Initials*                             | Cite<br>No.1 | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue, number(s), publisher, city and/or country where published. | T <sup>2</sup> |  |  |
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| Examiner  | Date Considered |  |
|-----------|-----------------|--|
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